MANUAL OF THE PH.D. DEGREE IN
COMPUTER ENGINEERING

ARIZONA STATE UNIVERSITY

2014 – 2015

Computer Engineering (Computer Systems) graduate degrees please contact:

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Arizona State University
PO Box 878809
Tempe, AZ 85287-8809
PHONE: (480) 965-3199
E-mail address: cidse.advising@asu.edu
http://cidse.engineering.asu.edu/forstudent/graduate/computer-engineering/

Computer Engineering (Electrical Engineering) graduate degrees please contact:

School of Electrical, Computer and Energy Engineering
Arizona State University
PO Box 875706
Tempe, AZ 85287-5706
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http://ecee.engineering.asu.edu/programs/graduate-electrical-engineering/

Computer Engineering on the web:
http://more.engineering.asu.edu/cen/

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I. Introduction to the Computer Engineering Program

Computer Engineering is a multi-disciplinary program that builds on the fundamentals of Computer Science, Electrical Engineering, Industrial Engineering and Applied Mathematics. Graduates of this program will have the knowledge and skills necessary to advance the design, system integration, testing, evaluation and deployment of the state-of-the-art hardware and software for systems that include computing, communications and networking (wired and wireless), control functions, sensing, signal processing and actuation.

This Ph.D. program is intended for students with excellent skills in mathematics and physical science that are interested in gaining an in-depth knowledge of the foundational principles of engineering, as they pursue a career in academia, research or highly technical entrepreneurial innovation. The Ph.D. program provides a broader and more in-depth preparation than the M.S. program, in anticipation of a demonstrated ability to independently pursue more creative and substantive innovation with higher impact. Students are required to complete 84 credit hours—18 hours must be in one of two concentrations—and a dissertation. With approval of the student’s supervisory committee, up to 30 credit hours from a previously awarded master’s degree may be counted towards the degree requirements.

II. Objective of the handbook

The purpose of this handbook is to provide guidance and information related to admission, degree requirements, and general policies and procedures. Please note that in some cases you will find differences between the Graduate Catalog and the Computer Engineering program requirements. In these cases, CE has established higher standards. Students must satisfy both sets of requirements. Please note that policies and procedures are occasionally amended to improve the program. Changes will be communicated to students through e-mail, and posting on the paper and online bulletin boards.

III. Student responsibility

All students are expected to become familiar with university and program policies and procedures and abide by the terms set forth. Information is available both online and by hardcopy upon request. Most importantly you should visit the following websites:

- The Office of Graduate Education – http://graduate.asu.edu
- The Graduate Catalog – www.asu.edu/catalog visit the section on policies and procedures.
- The Computer Engineering Program – http://cidse.engineering.asu.edu/forstudent/graduate/computer-engineering/
- The International Student Office – https://global.asu.edu/isso, if applicable.
- The Ira A. Fulton School of Engineering – http://engineering.asu.edu

IV. Faculty responsibility

The members of the faculty of Computer Engineering have diverse backgrounds and knowledge. They are available to guide you in your plan of study and your educational and career goals. We encourage you to take the opportunity to make individual appointments with faculty members with whom you have common interests. Please refer
V. Admission and eligibility to the doctoral degree program

The Computer Engineering doctoral degree requires a background in computer engineering, computer systems engineering, electrical engineering, and computer science. However, in some cases, students with non-traditional educational backgrounds will be considered for admission. These students may be required to take fundamental courses to better prepare them for the program coursework. A student is encouraged to contact a graduate advisor in the respective concentration Advising Center to obtain advice on their educational pursuits.

Eligibility - Minimum of a bachelor’s degree (or equivalent) or a graduate degree from a regionally accredited College or University of recognized standing in a related field such as: Computer Engineering, Computer Systems Engineering, Electrical Engineering, and Computer Science.

Application - All students are required to submit an application with the Office of Graduate Education and pay the required fee in order to have their application properly processed.

Application deadlines – December 31 for Fall and August 1 for Spring:
To receive full consideration, we ask that you have all the required documents submitted by the deadline.

GRE scores - Students (International and Domestic) are exempt from taking the GRE who have degrees from any ABET accredited program (from US or overseas institutions) and meet the minimum GPA requirements of the academic units. Students, who do not meet these requirements as outlined, will be required to take the GRE.

English Proficiency - The University requires all international applicants from a country whose native language is not English to provide the Test of English as a Foreign Languages (TOEFL), the International English Language Testing System (IETLS), or the Pearson Test of English (PTE) scores. Please note that your application will not be processed until the university receives official scores, which are valid two years from the start date of the degree program. There are some exceptions for students who have been living in the United States and would like to have the English Proficiency waived. Consult the Graduate Catalog under “English Language Requirement” and the Office of Graduate Education website for details. Please address all English Proficiency questions to the Office of Graduate Education.

Personal statement - The application must include a personal statement. The statement should: 1) explain professional goals and reasons for desiring to enroll in the doctorate program; 2) describe any research experiences; 3) indicate personal research interests; and 4) identify two or three ASU CE faculty with matching research interests.
Letters of recommendation - CE requires three (3) letters of recommendation, at least one of which must come from former faculty. There is no standard form for letters of recommendation. We encourage letters from people who know you well, such as teachers, professional associates and supervisors. Ask people who can comment on your academic, emotional, intellectual and professional development.

GPA requirement – Minimum of a 3.00 cumulative GPA (scale is 4.0=A) in the last 60 hours of a student’s first bachelor’s degree program. A minimum GPA of 3.0 is required in the MS/MSE work for acceptance into the Ph.D. program.

Application evaluation - Several factors are taken into consideration when evaluating a student’s application: the student’s cumulative GPA, major, institution, personal statement, letters of recommendation, standardized test scores, and performance in individual courses.

Recommended Academic Preparation – Computer Engineering graduate students should have knowledge in the following topics prior to applying for the program at Arizona State University: Computer Architecture & Organization, Algorithms & Data Structures, Digital Signal Processing, Digital VLSI, and Discrete Math.

If admitted to the Computer Engineering graduate program an exam will be administered on the first day of class to gauge knowledge in the topics. There will be one exam in CEN 501 covering Digital Signal Processing, Digital VLSI, and Discrete Math. A second exam will be given in CEN 502 covering Computer Architecture & Organization and Algorithms & Data Structures. The exam will count towards your overall grade in the course, percentile will be determined by the instructor, so it is highly advised that you have taken a related course and use the study guide provided to refresh your knowledge prior to arriving at ASU. Based on the results of the exam it may be recommended that you take the corresponding course at ASU to better prepare you for the Computer Engineering graduate program.

ASU Recommended Course

CSE 230 – Computer Organization and Assembly Language Programming
CSE 310 – Data Structures and Algorithms
EEE 203 – Signals and Systems I
EEE 335 – Analog and Digital Circuits
MATH 243 – Discrete Math Structures

Notice of Admission - CE submits its recommendation of admission to the Office of Graduate Education and the final notice of admission decision is notified in writing by the Office of Graduate Education. You may check your application status on MyASU(my.asu.edu).
VI. **Doctoral degree requirements**

Degree requirements for the Ph.D. include a minimum of 84 semester hours beyond the bachelor’s degree and deficiency courses. Students are allowed up to 30 credit hours from a previously awarded master’s degree to count towards the degree requirements for the doctoral program, if approved by the student’s supervisory committee.

The Ph.D is comprised of four major milestones which all students are required to pass successfully prior to graduation.

a. Completion of the core coursework
b. Filing an approved Plan of Study
c. Passing the Comprehensive Examination and approval of the dissertation prospectus to advance to candidacy
d. Successful oral defense of an approved written dissertation.

**a. Core courses:** All incoming students are required to complete the two core courses.

- CEN 501 – Computer Systems I: Circuits to Architectures

The combination of CEN501 and CEN502 serves to integrate the required knowledge of electrical engineering and computer science to ensure that all students have the necessary background to pursue advanced study in the areas of computer engineering. CEN501 focuses on circuit and logic design, topics that span the electrical engineering to computer engineering interface. CEN502 begins with computer architecture and focuses on operating systems, compilers, and networking topics that cover the computer science to computer engineering interface. Together this pair of courses provides a common and necessary background for all students in the program to pursue further advanced study in the six areas of the program. **As such, these courses must be taken during the first semester of availability.**

**b. Formulation of the Plan of Study:** After successfully completing the core courses, students will be required to develop and submit a Plan of Study through MyASU. A minimum of 84 credit hours are required in the Plan of Study. A maximum of six credit hours of 400 level coursework may be used on an approved POS (400 level courses taken for a grade of Pass/Fail cannot be included on a POS). Courses with grades of “D” (1.00) and “E” (0.00) cannot be included on a POS.

The Plan of Study must have the following required minimum components:

1. Two core courses (6 credit hours) (see previous Core courses for details)
2. Elective Courses

**Must have a minimum of 42 credit hour elective courses.**

The elective course in the graduate Computer Engineering program are partitioned into six areas of study, and listed below. These courses will be referred to as Computer Engineering Area (CE-Area) courses.
The six areas of study are:

1. VLSI and Architecture
2. Embedded Control Systems
3. Communication and Networks
4. Disturbed, Dependable and Secure Systems
5. Multimedia and Signal Processing
6. Systems Optimization

At least **24 credit hours of CE-Area courses** are needed to provide a breadth of knowledge in CE to support an extensive research and dissertation experience.

At least **18 credit hours of other graduate courses** (Graduate courses in Science, Engineering, or Mathematics with the approval of the Computer Engineering Graduate Committee). These courses are intended to provide a level of breadth and depth in basic science and analytical methods well beyond that required for the Masters level.

The above CE area courses must satisfy the following constraints:

- At most 6 credit hours of M*
- At least 12 credit hours of M* or D*

Remaining credit hours can be other graduate courses (Graduate courses in Science, Engineering, or Mathematics with the approval of the Computer Engineering Graduate Committee).

**Research & Dissertation**

- CEN 792 Research or graduate coursework (12-18 credit hours)
- CEN 799 Dissertation (12 credit hours)

**400-Level Courses and Cross Listed Courses:**

No more than 6 hours of 400-level coursework can be included on the graduate student program of study. No more than 12 hours of cross listed courses (4XX/5XX) can be included on the graduate student program of study. No more than a total of 12 hours of a combination of 400-level and cross listed courses (4XX/5XX) can be included on the graduate student program of study.
PH.D. in Computer Engineering

- Computer Systems (CS)
- Electrical Engineering (EE)

6 Core Credits + 42 Elective Credits + 0-6 Reading and Conf. + 12-18 Research + 12 Dissertation + 0-12 Electives = 84 Credit Hours

6 Credit Hours Core Courses

- CEN 501 Computer Systems I
  Semester: ______ Year: ______
- CEN 502 Computer Systems II
  Semester: ______ Year: ______

42 Credit Hours Elective Courses

- Select at least 24 credit hours of courses from the CE-Area of Study to provide a breadth of knowledge in CE to support an extensive research and dissertation experience. Selection of CE-Area courses must satisfy the following constraints:
  - Select at least 12 credit hours of courses noted with M* or D* from the CE-Areas of Study.
  - Only 6 credit hours can be courses noted with M* in the CE-Areas of Study.
- M* or D* Course
  Area
  Semester: ______ Year: ______
- M* or D* Course
  Area
  Semester: ______ Year: ______
- D* Course
  Area
  Semester: ______ Year: ______
- D* Course
  Area
  Semester: ______ Year: ______

Remaining credit hours can be other courses from the CE-Areas of Study (No M* Courses)

- Course
  Area
  Semester: ______ Year: ______
- Course
  Area
  Semester: ______ Year: ______
- Course
  Area
  Semester: ______ Year: ______
- Course
  Area
  Semester: ______ Year: ______

- Select at least 18 credit hours of Science, Engineering, or Mathematics courses, in consultation with your graduate faculty advisor, that are intended to provide a level of breadth and depth in basic science and analytical methods well beyond that required for the Masters level.
- Course
  Semester: ______ Year: ______
- Course
  Semester: ______ Year: ______
- Course
  Semester: ______ Year: ______
- Course
  Semester: ______ Year: ______
- Course
  Semester: ______ Year: ______
- Course
  Semester: ______ Year: ______

CE Areas of Study

- VLSI and Architecture – VLSI & A
- Embedded Control Systems – ECS
- Communications and Networks – CN
- Distributed, Dependable and Secure Systems – DDSS
- Multimedia and Signal Processing - MSP
- Systems Optimization – SO
Reading and Conference

☐ At most 6 credit hours of CEN 790: Reading and Conference
  • CEN 790: Credit Hours _____________

Research

☐ At least 12 and at most 18 credit hours of CEN 792: Research
  • CEN 792: Credit Hours _____________

Dissertation

☐ 12 credit hours of CEN 799: Dissertation

☐ A successful oral dissertation defense

Electives - If needed to meet 84 Credits

• Course __________ Semester:__________ Year:__________
• Course __________ Semester:__________ Year:__________
• Course __________ Semester:__________ Year:__________
• Course __________ Semester:__________ Year:__________

Overall Credits

☐ At least 84 Credits
☐ CS: 12 Credits CSE or CEN
☐ CS: 6 Credits EEE or CEN
☐ EE: 12 Credits EEE or CEN &
☐ EE: 6 Credits CSE or CEN
☐ CEN 584 Credit Hours (Maximum 2) _______
☐ No more than 6 credits 400 level courses
☐ No more than 12 credits cross listed courses (SXX/4XX)
☐ No more than 12 credits of combined cross listed courses and 400 level courses

If you are planning to apply credits from a previously earned MS degree, please attach the Computer Engineering Transfer Credit Request Form.

Please use this sheet as a guide when filling out the iPOS. After electronic submission of the iPOS please turn in this sheet, along with your iPOS signed by your faculty advisor, to the appropriate Advising Center:
CS · BYENG 225  EE · Goldwater Center 209.

Academic Advisor: ___________________  Faculty Advisor: ___________________
c. Dissertation Supervisory Committee: The role of the supervisory committee is to provide guidance and direction for the student’s educational and research plan. As such, the committee must have the necessary expertise to guide and evaluate research in the proposed dissertation area. A minimum of four committee members is required, including the committee chair or two co-chairs. The committee chair must be a Computer Engineering faculty member. Typical committees are made up of two committee members from the CEN faculty in the student’s major area; one member from the CEN faculty outside of the area of the major; and one member from outside CEN Program. The supervisory committee must be approved by the CEN Program Chair and by the Office of Graduate Education prior to taking the Comprehensive Examination.

d. Comprehensive Examination: The comprehensive examination and the dissertation prospectus are separate processes, both of which culminate with the oral comprehensive examination. Your committee chair will advise you of the expectations of the exam.

The student first makes arrangements with the advisory committee chair to schedule a five-week time period for the examination. Care must be taken to ensure that the entire examination will fall into one of the two regular semesters. The exam consists of two parts: a) a written exam; b) an oral defense on both the Comprehensive Exam and the Dissertation Prospectus. While separate, the two oral portions of the exam may be held at the same time. The student is required to bring a Report of Doctoral Comprehensive Examination and Approval of the Ph.D. Dissertation Prospectus forms available on the CIDSE website [http://cidse.engineering.asu.edu/academic-advising/graduate-advising/gradforms/] to the oral examination, and after completion of the examination, the Chairperson or student should submit the form to the Graduate Academic Advisor.

The five-week period will be spent as follows:

1. The student will submit a research proposal to the advisory committee. Guidelines for proposals are presented in Dissertation Prospectus below.
2. The members of the committee will submit written question(s) to the chair of the committee one week after submission of the research proposal. These questions should relate to the research area suggested by the student or to the coursework taken by the student.
3. The student will have 17 consecutive calendar days to develop written responses to the questions. The candidate should submit one complete, bound set of answers to all questions to each committee member.
4. The general knowledge oral portion of the examination will be held within two weeks of submission of the written responses. This examination normally lasts about two hours and will be primarily related to the research area, the student’s written responses, and the dissertation prospectus. Appropriate related fundamental concepts may also be covered.
5. The final Pass/Fail is determined based on the combined responses to written and oral examination questions. A majority vote by the committee and a pass vote by the committee chair are required to pass.
6. Passing the examination makes the student a candidate for the Ph.D. degree. The Office of Graduate Education will inform the student and CE Office when candidacy is granted. Should a student fail the examination, the advisory committee will decide if and when a retake of the examination is possible. A reexamination may be administered as early as three months and no later than one year from the date of the original examination. Only one retake is allowed.

e. The Dissertation Prospectus is a research proposal that precedes the dissertation. It is a document that introduces the doctoral student’s proposed original contribution to the field of computer engineering that will be created through the doctoral research and writing of the dissertation. The prospectus should raise an important issue in the field and discuss the issue’s contribution to the discipline. The doctoral student should work with their advisor or co-advisors to prepare the prospectus. Upon completion, the student will deliver a hard copy of the prospectus to his/her supervisory committee. The committee will gather to discuss the prospectus. Following that, an oral delivery and review of the Dissertation Prospectus should be scheduled. This oral prospectus defense is considered part of the Comprehensive Exam and may be held in conjunction with the general knowledge defense.

While the format of the proposal is up to the committee chair, the written proposal typically contains:

1. A title page with author's name, committee members' names, institution, and date.
2. A table of contents.
3. An introduction explaining the nature of the research.
4. A clear statement of the research problem.
5. A thorough review of all relevant literature.
6. An argument that the problem is of sufficient relevance and importance to study.
7. A description of the proposed methodology and argument for its acceptability.
8. A statement of the expected contributions of the research.
9. A plan/schedule for completion of the research.
10. A complete bibliography following an accepted style.

The final version of the proposal is a binding agreement between the student and the Committee and will be enforced by the CE Program. Satisfactory completion of the research as outlined in the proposal will result in an approved dissertation. Following approval of the written dissertation, the student must schedule and pass a final oral defense.

**Dissertation Defense and 10-Day Rule:** Defense of a dissertation comprises submission of an approved dissertation followed by its successful oral defense. Students are required to submit a paper based on the dissertation research to an CE-related refereed journal before the final examination. They are strongly encouraged to present a conference paper(s) on their work during the course of the research. These publications are normally jointly written with the advisor and other appropriate faculty. Successful oral defense of the dissertation fulfills the CEN 799 requirement.
f. Steps to Preparing for Your Defense

Prior to defense:
1. Obtain a consensus of approval from the committee chair and the members to proceed with the oral defense.
2. Schedule a date and time with your committee for the oral defense.
3. Important: Ensure that a minimum of 50% of the official committee be physically present at the defense. The Chair must be physically present at the defense. If at least 50% of the committee cannot be physically present, the defense must be rescheduled.
4. Visit the Office of Graduate Education website and MyASU Programs and Degree under the defense tab to become familiar with the dates and deadlines on format approval and oral defense.
5. REVIEW ALL GRADUATION DEADLINES! http://graduate.asu.edu/graddeadlines.html

10 days prior to the defense:
These steps are required to be completed prior to 10 working days from the date of oral defense.
1. Reserve a room for your defense.
   a. CS please see the CIDSE front desk (Brickyard 5th floor).
   b. EE please visit your Graduate Academic Advisor
2. Submit an electronic version of your abstract with title, full names of your committee members, defense date/time/place, and your name as you want it to appear on the defense announcement to your respective concentration advising center.
3. Submit an electronic copy of your completed dissertation to the CE Program Chair.
4. Schedule your defense through your MyASU (my.asu.edu) defense tab.

On the day of the defense:
1. Set-up all your equipment at least one half-hour prior to your presentation to make sure they work.

After the defense:
1. Your committee will discuss the results of the exam with you and may have additional comments for you. At the end, the committee will make a recommendation: Pass, Pass with minor revisions, Pass with major revisions, or Fail.
2. Revisions are normal and must be completed within one year. This includes remaining registered and uploading the finished document on MyASU Format Tools.
3. If you have revisions you must submit a copy of the Doctoral Defense Report Form (Pass/Fail form) to the Office of Graduate Education within 10 working days of the defense. Your graduate advisor can assist you by emailing the form to the Office of Graduate Education.
4. You must be registered for at least one credit hour graduate level coursework each semester until the final submission of your dissertation.
5. After you have passed the defense and/or completed all revision hand-deliver the original Doctoral Defense Report (Pass/Fail Form) to your respective concentration advising center. The graduate advisor will email the form to the Office of Graduate Education.

6. Upload your dissertation online through ProQuest.

VI. General Information

a. Research standards for publication of dissertation
Graduate research is the study of an issue that is of sufficient breadth and depth to be publishable in a CE-related journal. The effort should reflect a minimum of 1,500 hours of thoughtful work for a dissertation (Ph.D.). The research should follow the ‘scientific method’ and thus be both objective and reproducible. The dissertation should demonstrate independent, original, and creative inquiry. There should be predefined hypotheses or developmental goals and objectives that are measurable and can be tested. The document should demonstrate proficiency with written English and should conform to the Office of Graduate Education format guidelines.

b. Financial assistance and/or fellowships
The Computer Engineering Program’s goal is to provide support to all incoming Ph.D. students. According to the student’s academic performance and past academic research, funding offers will be extended to individual students with the highest academic achievements. We encourage students to highlight their past academic achievements in their personal statement and in their resume.

c. Continuous Enrollment and Leave of Absence Policies
Once admitted to a graduate degree program, doctoral students must be registered for a minimum of one graduate credit hour (not audit or undergrad) during all phases of their graduate education. This includes periods when they are engaged in research, working on or defending theses or dissertations, taking comprehensive exams, or in any other way using university facilities or faculty time including the term in which they graduate. This credit must appear on the Plan of Study or must be an appropriate graduate-level course (e.g. 695, or 795, 580, Continuing Registration). Courses with grades of “W” and “X” are not considered valid registration for continuous enrollment purposes.

Students planning to discontinue enrollment for a semester or more must request approval for a leave of absence. Student may petition the Office of Graduate Education for a leave of absence for a maximum of two semesters during their entire program. A petition for a leave of absence, endorsed by the members of the student’s supervisory committee and the head of the academic unit, must be approved by the Office of Graduate Education. This request must be filed and approved before the anticipated absence.
An approved leave of absence will enable students to re-enter their program without re-applying to the university. Students who do not enroll for a fall or spring semester without an approved leave of absence by the Office of Graduate Education are considered withdrawn from the university under the assumption that they have decided to discontinue their program. A Student removed for this reason may reapply for admission to resume their degree program; the application will be considered along with all other new applications to the degree program.

A student on leave is not required to pay fees, but in turn is not permitted to place any demands on university faculty or use any university resources.

d. Maximum Time Limit

Doctoral students must complete all program requirements within a ten-year period. The ten-year period starts with the semester and year of admission to the doctoral program. Graduate courses taken prior to admission that are included on the Plan of Study must have been completed within three years of the semester and year of admission to the program (previously awarded master’s degrees used on the Plan of Study are exempt).

In addition, the student must defend the dissertation within five years after passing the Comprehensive Examinations. Therefore, the maximum time limit is the shortest of the following:

1. Time period since initial enrollment (10 year time limit).
2. Time after passing the comprehensive exams (5 year time limit).

Any exceptions must be approved by the supervisory committee and the Office of Graduate Education and ordinarily involves repeating the comprehensive examinations. The Office of Graduate Education may withdraw students who are unable to complete all degree requirements and graduate within the allowed maximum time limits.

e. Registration requirements for research assistants (RA) and teaching assistants (TA)

Students awarded an assistantship within the Ira A. Fulton School of Engineering are required to be registered for 12 credit hours. Audit credit hours do not count towards the 12 credit hours.

Students who obtain an assistantship outside the Ira A. Fulton School of Engineering are required to be enrolled a minimum of 6 credit hours. Audit credit does not count towards the 6 credit hours. Enrollment in continuing registration (CEN 795) does not count towards the 6 hour requirement.

TAs and RAs are treated as residents for tuition purposes. To be eligible for tuition remission, TAs and RAs must be employed a minimum of 10 hours per week (25 percent Full Time Equivalency {FTE}). TAs/RAs working 10-19 hours per week (25-
49 percent FTE) receive a 50 percent remission of tuition for the semester or summer session of their employment. TAs/RAs working 20 hours per week (50 percent FTE) do not pay tuition during the semester or summer session of their employment. In addition, the university pays the individual health insurance premium for those TAs and RAs working 20 hours per week (50 percent FTE).

f. **Satisfactory Progress, Academic Probation, Progress probation, and Withdrawal from the CE Program**

Each semester, the Computer Engineering Program reviews students’ files for satisfactory progress towards completion of the degree. All students are placed on one of the four categories:

1) Satisfactory progress;
2) Academic Probation;
3) Progress probation;
4) Withdrawal from the CE Program.

**1. Satisfactory progress** means that a student does not have any academic and progress probationary issues. In addition to the probationary rules, satisfactory progress includes each semester communication with the student’s Committee Chair regarding his/her progress.

**2. Academic Probation** pertains to grades that might affect Program and University policies including graduation. The following are notices/letters you will receive if one of these pertains to your academics:

- GPA below 3.0 in approved POS courses.
- Overall post baccalaureate (cumulative) GPA below 3.0.
- Overall graduate (500 level or above) GPA below 3.0.

**3. Progress probation** pertains to issues dealing with making progress towards a degree. The following are notices/letters you will receive if one of these pertains to your academics:

- Failure to pass the Ph.D. Comprehensive Examination.

**4. A student is recommended for withdrawal from the CE Program** if she or he fails to meet the probationary standards placed upon in the semester mentioned in the probationary letter. The student will receive a letter from the Computer Engineering Program explaining the reasons for the withdrawal. The student will have 5 calendar days from the date of the letter to appeal the decision. The CE Graduate Programs Committee (GPC) will review the case and will make the necessary recommendation. The Graduate Program Chair, on behalf of the GPC, will provide a written explanation of the outcome. If the outcome is favorable, the student will have to meet all the outlined requirements at the end of the specified period. The student will be required to sign an agreement acknowledging the recommendations and the consequences if the agreements are not met. If the GPC recommends that the appeal is not granted in favor of the student, the Graduate
Program Chair, on behalf of the GPC, will recommend to the Dean’s Academic Affairs to withdraw the student from the CE Program. The student will then have the opportunity to appeal to the Ira A. Fulton Schools Standards Committee which reviews the student’s case and makes the final ruling to Associate Dean and the CE Program. If the appeal is not granted in favor of the student, the Dean’s Academic and Student Affairs will recommend to the Office of Graduate Education to withdraw the student from the CE Program. Please refer the Office of Graduate Education catalog on policies and procedures or contact your respective concentration graduate advisor.

**g. Academic Integrity**

The highest standards of academic integrity are expected of all graduate students, both in the academic coursework and in their related research activities. The failure of any graduate student to meet these standards may result in serious consequences including suspension or expulsion from the university and/or other sanctions as specified in the academic integrity policies of individual colleges as well as the university.

Violations of academic integrity include, but are not limited to: cheating, fabrication, tampering, plagiarism, or aiding and/or facilitating such activities. At the graduate level, it is expected that students are familiar with these issues and each student must take personal responsibility in their work. In addition, graduate students are expected to follow university guidelines related to the Student Code of Conduct. University policies related to academic integrity and code of conduct are available in the Office of Student Life, or at www.asu.edu/studentaffairs/studentlife/judicial.

**h. CEN 584 Internship (Curricular Practical Training)**

Curricular Practical Training (CPT) is an academic experience usually obtained at off-campus work settings, allowing the student to apply knowledge and skills gained in various classes. It is intended as a unique, hands-on learning experience to provide students with a number of valuable skills that they can use upon graduation from their graduate degree programs. Accordingly, it is not available to full-time or part-time workers regularly employed by the company where the internship is proposed.

The CPT is available to both domestic and international students. However, international students must work with the International Students and Scholars Center (ISSC) and submit additional documentation to obtain work authorization. Furthermore, international students must include the CPT course CEN 584 (1 credit hour) as an integral part of their Program of Study, reflected by their approved iPOS.

Addition of the CPT course(s) should be done at the initial submission of the student’s iPOS during the first semester of study. (Note that each student is required to file an iPOS by the end of his/her first semester of study). Later additions of CPT courses must be requested and approved at least one full semester (fall, spring or summer) prior to the proposed start date of the internship course. For example, a student planning to do an internship during the summer semester should have an approved iPOS with the internship course before the beginning of classes in the preceding Spring semester. The
Internship course cannot be added to an approved iPOS once all coursework has been completed. Exceptions may be made if the internship is relevant to thesis (or dissertation) research.

The Graduate Program Chair will determine the need for a CPT internship in such cases in consultation with the Graduate Academic Advisor. Note that approval of an iPOS with the CEN 584 course confirms that the internship is an integral part of the degree requirements as planned by the student. Hence, students who are not able to fulfill the internship credit requirements in their iPOS are required to replace the course credit requirements through the following options:

- taking a 3-credit hour graduate course,
- signing up for CEN 580 Practicum and being involved in various applied projects at the department with faculty,
- taking a one credit hour of CEN 590 – Reading and Conference (Independent Study).

In order to be eligible for internship, a student must be in good academic standing and not have an academic integrity violation in a course for two full semesters (summer semesters not included) from the initial reporting of the incident. For example, a sanctioned academic integrity violation initially reported on April 15, 2012 will make the student ineligible for this approval until the end of Spring 13 semester.

International students need to be aware of immigration policies and regulations, which may jeopardize their academic status. Hence, it is strongly recommended for international students to consult with the International Students and Scholars Center (ISSC).

All students (domestic and international) may take part in an Out-Of-State internship in the Summer semester. The eligibility requirements for CPT internships remain the same as mentioned.

During the regular Fall and Spring semesters international graduate students in F-1 status must register for a minimum of nine (9) credit hours to maintain full-time status and be enrolled in a minimum six (6) credit hours of in-person, on-campus coursework at the ASU Tempe campus. A maximum of three (3) credit hours of online courses is permitted. The CEN 580 Practicum course will not count as satisfying the student’s “physical presence” at ASU. Students will not be able to take part in internships outside the Phoenix metropolitan area. In some cases students may be approved to do an internship in Tucson or other nearby locations to Phoenix, as long as the student is able to prove they can physically attend their courses on campus.

Required documents and forms for the internship proposal must be submitted to the CIDSE Advising Office at least two weeks prior to the beginning of the semester in which the internship is planned. Students will not be able to request late-add registration of the CEN 584 Internship credit to their class schedule after the drop/add deadline of each semester.
An approved proposal is required before commencing the internship. The request will include a statement from the employer that indicates they understand that the work is to satisfy a degree requirement. A sample letter and other required forms are available from the Graduate Advisor. Students must receive approval from their faculty advisor and from the Graduate Program Director before registering for CEN 584. **In order to register for CEN 584, a student must have a CUM GPA of 3.00 or higher.** A final Plan of Study must be filed with the Office of Graduate Education showing the Internship course before registering for CEN 584. All application materials for an Internship must be completed by the last day of regular registration for any semester. The student must take classes appearing on the Plan of Study the semester following the internship.

**Renege:** (verb) to fail to carry out a promise or commitment

Never accept a job with the intention of turning it down if “something better” comes along. Not only is it inconsiderate and unprofessional, it also reflects badly on Arizona State University and might negatively impact another ASU student’s opportunities with that employer. Also, employers communicate with each other and you don’t want to get a bad reputation.

After you have given your decision careful consideration and accepted an offer, stop looking. Inform other employers who have extended offers that you have accepted another position. Don’t accept further interview invitations or search further. Please refer to NACE’s Playing Fair…Your Rights and Responsibilities as a Job Seeker [http://www.naceweb.org/playing_fair/](http://www.naceweb.org/playing_fair/) to become familiar with Principles for Professional Practice.

A **five-page final report is required** before a grade and credit is given. The final report must be submitted to the reporting supervisor for comments and then to the faculty advisor for grade assignment.

i. **Optional Practical Training (OPT)**

Please visit the [International Students Services](http://www.naceweb.org/playing_fair/) website for details regarding OPT and Pre-OPT. Students must be in good academic standing and have an approved iPOS. A student does (Pre-) OPT at their own risk since if the student doesn’t graduate in the semester indicated on the iPOS, no letter will be issued by advising to support a later graduation date unless the delay is for reasons beyond the control of the student.

j. **CEN 790 Reading and Conference (Independent Study)**

Independent study is available for Ph.D. students. The student must get written approval from the supervising faculty outlining the coverage of the content. The Independent Study form must be approved by the Program Chair and will be placed in the student’s file.
k. Engineering Student Organizations

There are dozens of engineering student organizations and teams ranging from honors and professional associations to groups creating underwater robots, concrete canoes and launching rockets. Student organizations are excellent opportunities to learn about career possibilities as many of the student groups operate in conjunction with industry professional societies … get involved today!

Please visit http://studentorgs.engineering.asu.edu/ for a list of Engineering Student Organization.
### Computer Engineering Areas of Study

1. **VLSI** – VLSI and Architecture
2. **ECS** – Embedded Control Systems
3. **CN** – Communications and Networks
4. **DDSS** – Distributed, Dependable Secure Systems
5. **MSP** – Multimedia and Signal Processing
6. **SO** – Systems Optimization

M* - Content of course is Master level
D* - Content of course is Doctorate level

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<th>Course &amp; Prefix</th>
<th>Course Title (Credit Hours)</th>
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Course Descriptions

APM 506 Computational Methods
Covers interpolation, solution of nonlinear equations and systems, numerical differentiation, numerical integration, numerical solution of ordinary and partial differential equations. Students should be an APM graduate student.

APM 523 Optimization
Linear programming, unconstrained nonlinear minimization, line search algorithms, conjugate gradients, quasi-Newton methods, constrained nonlinear optimization, gradient projection, and penalty methods. Completion of courses in Applied Linear Algebra and Computational Methods is strongly recommended prior to enrollment in this course.

CSE 480 Multimedia Information Systems
Design, use, and applications of multimedia systems. Introduces acquisition, compression, storage, retrieval, and presentation of data from different media such as images, text, voice, and alphanumeric.

CSE 412 Database Management
Introduces DBMS concepts. Data models and languages. Relational database theory. Database security/integrity and concurrency.

CSE 420 Computer Architecture I

CSE 430 Operating Systems
Operating system structure and services, processor scheduling, concurrent processes, synchronization techniques, memory management, virtual memory, input/output, storage management, and file systems.

CSE 434 Computer Networks
Distributed computing paradigms and technologies, distributed system architectures and design patterns, frameworks for development of distributed software components.

CSE 440 Compiler Construction I
Introduces programming language implementation. Implementation strategies such as compilation, interpretation, and translation. Major compilation phases such as lexical analysis, semantic analysis, optimization, and code generation.

CSE 445 Distributed Software Development
Distributed system architectures and design, service-oriented computing, and frameworks for development of distributed application and software components.
**CSE 450 Design and Analysis of Algorithms**  
Design and analysis of computer algorithms using analytical and empirical methods; complexity measures, design methodologies, and survey of important algorithms.

**CSE 509 Digital Video Processing**  
Concepts of digital video compression, video analysis, video indexing, browsing and retrieval, video transmission over networks, video processors, MPEG 1, 2, 4, and 7 standards.

**CSE 512 Distributed Database Systems**  
Distributed database design, query processing, and transaction processing. Distributed database architectures and interoperability. Emerging technology.

**CSE 515 Multimedia and Web Databases**  
Data models for multimedia and Web data; query processing and optimization for inexact retrieval; advanced indexing, clustering, and search techniques.

**CSE 520 Computer Architecture II**  
Computer architecture description languages, computer arithmetic, memory-hierarchy design, parallel, vector, multiprocessors, and input/output.

**CSE 534 Advanced Computer Networks**  
Advanced network protocols and infrastructure, applications of high-performance networks to distributed systems, high-performance computing and multimedia domains, special features of networks.

**CSE 535 Mobile Computing**  
Mobile networking, mobile information access, adaptive applications, energy-aware systems, location-aware computing, mobile security and privacy.

**CSE 536 Advanced Operating Systems**  
Protection and file systems. Communication, processes, synchronization, naming, fault tolerance, security, data replication, and coherence in distributed systems. Real-time systems.

**CSE 539 Applied Cryptography**  
Uses cryptography for secure protocols over networked systems, including signatures, certificates, timestamps, electrons, digital cash, and other multiparty coordination.

**CSE 543 Information Assurance and Security**  
Comprehensive understanding of information assurance and security problems with the solutions as well as hands-on experiences about applying these solutions.

**CSE 545 Software Security**  
Theories and tools for software security, including secure design, threat analysis and modeling, security testing and coding.
CSE 550 Combinatorial Algorithms and Intractability
Combinatorial algorithms, nondeterministic algorithms, classes P and NP, NP-hard and NP-complete problems, and intractability, Design techniques for fast combinatorial algorithms.

CSE 552 Randomized and Approximation Algorithms
Introduces two important areas of algorithm design for graduate students. A randomized algorithm is allowed to rely on the outcome of a random experiment in deciding on its next step. In many applications, randomized algorithms are simpler than any deterministic algorithms known, but in several cases, they are in fact more powerful or more efficient than any deterministic algorithms. Covers basic paradigms for randomized algorithm design and analysis, as well as for derandomization.

CSE 555 Theory of Computation
Rigorous treatment of regular languages, context-free languages, Turing machines and decidability, reducibility, and other advanced topics in computability theory.

CSE 565 Software Verification, Validation, and Testing
Test planning, requirements-based and code-based testing techniques, tools, reliability models and statistical testing.

CSE 572 Data Mining
Advanced data mining techniques: classification, clustering, association, preprocessing; performance evaluation; information assurance, Web mining, security and privacy issues, and other applications. Students must have a solid background in database management systems, search, learning, and statistics to be successful in this course.

CSE 575 Statistical Machine Learning
Spectral clustering, regression, classification, semi-supervised learning, feature reduction, manifold learning, ranking, kernel learning and multitask learning.

CSE 591 Seminar
A small class emphasizing discussion, presentations by students, and written research papers.

CSE 598 Special Topics
Topical courses not offered in regular course rotation – e.g., new courses not in the catalog, courses by visiting faculty, courses on timely topics, highly specialized courses responding to unique student demand.

EEE 404 Real-Time Digital Signal Processing
Digital signal processors, translating signals and systems concepts into real-time multimedia and communications applications, real-time algorithms.

EEE 407- Digital Signal Processing
Time and frequency domain analysis, difference equations, z-transform, FIR and IIR digital filter design, discrete Fourier transform, FFT, and random sequences.
EEE 425 Digital Systems and Circuits
Digital logic gate analysis and design. Propagation delay times, fan out, power dissipation, noise margins. Design of MOS and bipolar logic families, including NMOS, CMOS, standard and advanced TTL, ECL, and BiCMOS. Inverter, combinational and sequential logic circuit design, MOS memories, VLSI circuits. Computer simulations using PSPICE.

EEE 455 Communication Systems
Signal analysis techniques applied to the operation of electrical communication systems. Introduction to and overview of modern digital and analog communications.

EEE 459 Communication Networks

EEE 480 Feedback Systems
Analysis and design of linear feedback systems. Frequency response and root locus techniques, series compensation, and state variable feedback.

EEE 481 Computer-Controlled Systems
Implements computer-based, embedded, control systems using MATLAB xPC Target toolbox. Small-scale, representative projects demonstrate theoretical issues and provide hands-on expertise.

EEE 505 Time-Frequency Signal Processing
Joint time-frequency analysis of time-varying signals and systems; linear and quadratic time-frequency representations; applications in current areas of signal processing.

EEE 507 Multidimensional Signal Processing
Processing and representation of multidimensional signals. Design of systems for processing multidimensional data. Introduces image and array processing issues.

EEE 508 Digital Image and Video Processing and Compression
Fundamentals of digital image perception, representation, processing, and compression. Emphasizes image coding techniques. Signals include still pictures and motion video.

EEE 509 DSP Algorithms and Software
Linear systems review, digital filter design, software aspects, DFT, FFT, random signals, programming aspects, applications projects, MATLAB and Java simulations.

EEE 511 Artificial Neural Computation Systems
Networks for computation, learning function representations from data, learning algorithms and analysis, function approximation and information representation by networks, applications in control systems and signal analysis.
EEE 525 VLSI Design
Analysis and design of Very Large Scale Integrated (VLSI) circuits. Physics of small devices, fabrication, regular structures, and system timing.

EEE 526 VLSI Architectures
High throughput and low-power VLSI architectures for signal processing. Array processor systems; data path design and optimization; memory design; high-level synthesis; low-power design at system level, algorithm level, and architecture level.

EEE 551 Information Theory
Entropy and mutual information, source and channel coding theorems, applications for communication and signal processing.

EEE 552 Digital Communications
Complex signal theory, digital modulation, optimal coherent and incoherent receivers, channel codes, coded modulation, Viterbi algorithm.

EEE 553 Coding and Cryptography
Introduces algebra, block and convolutional codes, decoding algorithms, turbo codes, coded modulation, private and public key cryptography.

EEE 554 Random Signal Theory
Applies statistical techniques to the representation and analysis of electrical signals and to communications systems analysis.

EEE 555 Modeling and Performance Analysis
Modeling and performance analysis of stochastic systems and processes such as network traffic queueing systems and communication channels.

EEE 557 Broadband Networks

EEE 558 Wireless Communications
Cellular systems, path loss, multipath fading channels, modulation and signaling for wireless, diversity, equalization coding, spread spectrum, TDMA/FDMA/CDMA.

EEE 582 Linear System Theory
Controllability, observability, and realization theory for multivariable continuous time systems. Stabilization and asymptotic state estimation. Disturbance decoupling, noninteracting control.

EEE 585 Digital control Systems
Analysis and design of digital and sampled data control systems, including sampling theory, z-transforms, the state transition method, stability, design, and synthesis.
EEE 586 Nonlinear Control Systems
Stability theory, including phase-plane, describing function, Liapunov's method, and frequency domain criteria for continuous and discrete, nonlinear, and time-varying systems.

EEE 587 Optimal Control
Optimal control of systems. Calculus of variations, dynamic programming, linear quadratic regulator, numerical methods, and Pontryagin's principle.

EEE 588 Design of Multivariable Control Systems
Practical tools for designing robust MIMO controllers. State feedback and estimation, model-based compensators, MIMO design methodologies, CAD, real-world applications.

EEE 591 Seminar
A small class emphasizing discussion, presentations by students, and written research papers.

EEE 625 Advanced VLSI Design
Practical industrial techniques, circuits, and architectures appropriate to high-performance and low-power digital VLSI designs such as microprocessors.

EEE 686 Adaptive Control
Main topics covered: adaptive identification, convergence, parametric models, performance and robustness properties of adaptive controllers, persistence of excitation, and stability.

IEE 572 Design Engineering Experiments
Analysis of variance and experimental design. Topics include strategy of experimentation, factorials, blocking and confounding, fractional factorials, response surfaces, nested and split-plot designs. Prerequisite: IEE 380.

IEE 620 Optimization I
First course of the Ph.D. level deterministic course series. This course covers foundations of optimization and linear programming. Prerequisites: MAT 272, 242, and IEE 376.

IEE 670 Mathematical Statistics
This course is an introduction to the field of mathematical statistics at a level intended for first-year Ph.D. students in Industrial Engineering. It builds a solid background in the principles, concepts and techniques of mathematical statistics. The class prepares students for advanced study and research in statistics, and is useful for understanding statistical data analysis techniques and developing statistical thinking. Prerequisites: IEE 380
Appendix I
Computer Engineering Graduate Program
Prospective Student Information and Study Guide

Computer Engineering graduate students should have knowledge in the following topics prior to applying for the program at Arizona State University: Digital VLSI, Discrete Math, Digital Signal Processing, Computer Architecture & Organization, and Algorithms & Data Structures.

If admitted to the Computer Engineering graduate program an exam will be administered on the first day of class to gauge knowledge in the topics. This exam will count towards your overall grade in the Core course, percentile will be determined by the instructor, so it is highly advised that you have taken a related course and use this study guide to refresh your knowledge prior to arriving at ASU. Based on the results of the exam it may be recommended that you take the corresponding course at ASU to better prepare you for the Computer Engineering graduate program.

For each of the topics there is a suggested book and list of topics along with suggested Chapters from the book in some cases. Note that a student is free to study from any other relevant book on the subject.

**Digital VLSI (ASU Course: EEE 335)**


1. Diode -- static and dynamic behavior. (Chapter 3)
2. MOSFET transistor -- static and dynamic behavior. (Chapter 3)
3. CMOS inverter characteristics including switching threshold, propagation delay, power consumption. (Chapter 5)
4. Designing complex CMOS gates, Boolean logic.

EEE 335 Course Textbook: Microelectronic Circuits by Sedra/Smith. 6th Edition

**Discrete Mathematics (ASU Course: MAT 243)**


1. Foundations: Logic and Proofs: understand mathematical reasoning and ability to construct mathematical proofs; mathematical induction. (Chapter 1 & 5)
2. Combinatorial Analysis: ability to solve counting problems. (Chapter 6 & 8)
3. Elementary Number Theory: (Chapter 4)
4. Discrete Probability: fundamentals of probability theory, conditional probability, random variables. (Chapter 7)

5. Graph Theory: basics of graph theory including properties of trees. (Chapter 10-11)


**Digital Signal Processing (ASU Course: EEE 203)**


1. Signals: continuous-time and discrete-time; unit step; unit impulse; sinusoids; transformations of the time variable. (Chapter 1)

2. Systems: LTI systems -- linearity, time-invariance, causality, stability; impulse response; convolution (graphical as well as analytical); block diagrams, input-output equations. (Chapter 1, 2)

3. Fourier Transform (FT): calculation of forward and inverse transform of simple signals; use FT properties to determine the FT of a transformed signal; frequency response. (Chapter 4)

4. Discrete-time Fourier Transform (DTFT): calculation of forward and inverse transform of simple signals; use DTFT properties to determine the DTFT of a transformed signal; frequency response. (Chapter 5)

5. Sampling: converting a continuous-time signal to a discrete-time signal; sampling theorem. (Chapter 7)

6. z-Transform: calculation of forward and inverse transform of simple signals; region of convergence; properties. (Chapter 10)

**Computer Architecture & Organization (ASU Course: CSE 230)**


1. Assembly Language Programming: Understand assembly language, and write assembly language programs for simple problems.

2. Procedure Calling Convention: Know about register conventions, including caller saved, callee saved, argument and return value registers. Student should be able to write procedures and recursive functions in assembly language.

3. Data Representation: Understand the data representation (unsigned, 2’s complement, and floating point) inside the processor, and perform arithmetic operations on them. An understanding of hardware structures to perform these operations will be a plus.

4. Pipelined Processor Design: Understand the working of a single-cycle, and pipelined processor. Pipeline hazards, and basic techniques on how to avoid them.
5. Memory Hierarchy: Understand the rationale behind the memory organization, and know how caches operate.

6. I/O: Have a basic understanding of storage and I/O.

7. Advanced Computer Architecture: Be aware of the trends in computer organization and design, including superscalar, multi-threading, and multi-core architectures.

**Algorithms and Data Structures** *(ASU Course: CSE 310)*


1. Basics of algorithm design and analysis (Chapter 1 to 3).
2. Divide and Conquer (Chapter 4)
3. Elementary Data Structure, hash tables (Chapter 10,11)
4. Sorting: Heapsort (Chapter 6), Quicksort (Chapter 7), Radix Sort and Bucket Sort (Chapter 8)
5. Searching: Binary Search Trees. (Chapter 12), red-black trees (Chapter 13.1-4)
6. Dynamic Programming (Chapter 15)
7. Greedy Algorithms. (Chapter 16)
8. Minimum Spanning Tree (Chapter 23)
9. Shortest-Path Problems (Chapter 24-25)
10. Elementary Graph Theory (Chapter 22)
11. String Matching (Chapter 32)
12. NP-completeness (Chapter 34)